

04/03/01  
J1054 U.S. PTO

04-05-01

PTO/SB/05 (11-00)

Approved for use through 10/31/2002, OMB 0651-0032

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04/03/01

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**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.	SOFT-0006A
First Inventor	UDELL
Title	CORONOLIC ACID FORMULATION AND ITS APPLICATION FOR WEIGHT LOSS MANAGEMENT AND BLOOD SUGAR BALANCE
Express Mail Label No.	EF10719579 + US

<b>APPLICATION ELEMENTS</b>		<b>ADDRESS TO:</b>
See MPEP chapter 600 concerning utility patent application contents.		Assistant Commissioner for Patents Box Patent Application Washington, DC 20231
1. <input checked="" type="checkbox"/> Fee Transmittal Form (e.g., PTO/SB/17) <small>(Submit an original and a duplicate for fee processing)</small>		7 <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
2. <input checked="" type="checkbox"/> Applicant claims small entity status <small>See 37 CFR 1.27</small>		8 Nucleotide and/or Amino Acid Sequence Submission <small>(if applicable, all necessary)</small>
3. <input checked="" type="checkbox"/> Specification [Total Pages <b>12</b> ] <small>(preferred arrangement set forth below)</small> <ul style="list-style-type: none"> <li>- Descriptive title of the invention</li> <li>- Cross Reference to Related Applications</li> <li>- Statement Regarding Fed sponsored R &amp; D</li> <li>- Reference to sequence listing, a table, or a computer program listing appendix</li> <li>- Background of the Invention</li> <li>- Brief Summary of the Invention</li> <li>- Brief Description of the Drawings (if filed)</li> <li>- Detailed Description</li> <li>- Claim(s)</li> <li>- Abstract of the Disclosure</li> </ul>		a <input type="checkbox"/> Computer Readable Form (CRF) b Specification Sequence Listing on <ul style="list-style-type: none"> <li>i <input type="checkbox"/> CD-ROM or CD-R (2 copies), or</li> <li>ii <input type="checkbox"/> paper</li> </ul> c <input type="checkbox"/> Statements verifying identity of above copies
4. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [ Total Sheets <b>6</b> ]		9 <input type="checkbox"/> Assignment Papers (cover sheet & document(s))
5. Oath or Declaration [ Total Pages <b>3</b> ]		10 <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of <small>(when there is an assignee)</small> Attorney
a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63 (d)) <small>(for continuation/divisional with Box 18 completed)</small>		11 <input type="checkbox"/> English Translation Document (if applicable) 12 <input type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input type="checkbox"/> Copies of IDS 13 <input type="checkbox"/> Preliminary Amendment <input type="checkbox"/> Citations
i <input type="checkbox"/> <b>DELETION OF INVENTOR(S)</b> <small>Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)</small>		14 <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <small>(Should be specifically itemized)</small>
6. <input type="checkbox"/> Application Data Sheet See 37 CFR 1.76		15 <input type="checkbox"/> Certified Copy of Priority Document(s) <small>(if foreign priority is claimed)</small>
		16 <input type="checkbox"/> Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i) Applicant must attach form PTO/SB/35 or its equivalent
		17 <input type="checkbox"/> Other

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76

Continuation     Divisional     Continuation-in-part (CIP)    of prior application No \_\_\_\_\_

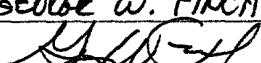
Prior application information

Examiner \_\_\_\_\_

Group Art Unit \_\_\_\_\_

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

<input type="checkbox"/> Customer Number or Bar Code Label	(Insert Customer No. or Bar code label here)			or	<input type="checkbox"/> Correspondence address below
Name	GEORGE W FINCH				
Address	1620 26th ST, SUITE 6000, NORTH TOWER				
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Country	USA	Telephone	(310)315-8234	Fax	(310)315-8210
Name (Print/Type)	GEORGE W. FINCH	Registration No. (Attorney/Agent)	25,113		
Signature				Date	3 APR 01

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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# FEE TRANSMITTAL for FY 2001

*Patent fees are subject to annual revision*

TOTAL AMOUNT OF PAYMENT (\$)

395.00

## Complete if Known

Application Number	
Filing Date	3 APR 01
First Named Inventor	UDELL
Examiner Name	
Group Art Unit	
Attorney Docket No	SOFT-0006A

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to  
 Deposit Account Number

Deposit Account Name

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status  
See 37 CFR 1.27

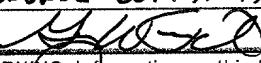
2.  Payment Enclosed:  
 Check  Credit card  Money Order  Other

## FEE CALCULATION (continued)

## 3. ADDITIONAL FEES

Fee Code (\$)	Large Entity Fee (\$)	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65 Surcharge - late filing fee or oath	<input type="text"/>
127	50	227	25 Surcharge - late provisional filing fee or cover sheet	<input type="text"/>
139	130	139	130 Non-English specification	<input type="text"/>
147	2,520	147	2,520 For filing a request for ex parte reexamination	<input type="text"/>
112	920*	112	920* Requesting publication of SIR prior to Examiner action	<input type="text"/>
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	<input type="text"/>
115	110	215	55 Extension for reply within first month	<input type="text"/>
116	390	216	195 Extension for reply within second month	<input type="text"/>
117	890	217	445 Extension for reply within third month	<input type="text"/>
118	1,390	218	695 Extension for reply within fourth month	<input type="text"/>
128	1,890	228	945 Extension for reply within fifth month	<input type="text"/>
119	310	219	155 Notice of Appeal	<input type="text"/>
120	310	220	155 Filing a brief in support of an appeal	<input type="text"/>
121	270	221	135 Request for oral hearing	<input type="text"/>
138	1,510	138	1,510 Petition to institute a public use proceeding	<input type="text"/>
140	110	240	55 Petition to revive - unavoidable	<input type="text"/>
141	1,240	241	620 Petition to revive - unintentional	<input type="text"/>
142	1,240	242	620 Utility issue fee (or reissue)	<input type="text"/>
143	440	243	220 Design issue fee	<input type="text"/>
144	600	244	300 Plant issue fee	<input type="text"/>
122	130	122	130 Petitions to the Commissioner	<input type="text"/>
123	50	123	50 Processing fee under 37 CFR 1.17(q)	<input type="text"/>
126	180	126	180 Submission of Information Disclosure Stmt	<input type="text"/>
581	40	581	40 Recording each patent assignment per property (times number of properties)	<input type="text"/>
146	710	246	355 Filing a submission after final rejection (37 CFR § 1.129(a))	<input type="text"/>
149	710	249	355 For each additional invention to be examined (37 CFR § 1.129(b))	<input type="text"/>
179	710	279	355 Request for Continued Examination (RCE)	<input type="text"/>
169	900	169	900 Request for expedited examination of a design application	<input type="text"/>
Other fee (specify) _____				<input type="text"/>
*Reduced by Basic Filing Fee Paid				<input type="text"/>
SUBTOTAL (3) (\$)				40

\*\*or number previously paid, if greater. For Reissues, see above

SUBMITTED BY		Complete (if applicable)		
Name (Print/Type)	GEORGE W. FINCH	Registration No (Attorney/Agent)	25,113	Telephone (310) 315-8234
Signature		Date	3 APR 01	

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